Docket: 740756-2428

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re New Patent Application of)
Osamu NAKAMURA et al.)
Japanese Priority Application No. 2001-019367) Attn: Applications
Japanese Priority Date: January 29, 2001) Branch
For: SEMICONDUCTOR DEVICE AND)
MANUFACTURING METHOD OF)
THE SAME) Date: January 28, 2002

<u>INFORMATION DISCLOSURE STATEMENT</u>

Honorable Commissioner for Patents and Trademarks Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached Form PTO-1449 be made of record in the above-identified application.

At least some of the references listed on PTO Form-1449 are discussed in the specification of the present application.

Copies of these references are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Respectfully submitted,

Eric J. Robinson

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Approved for use through 10/31/2002. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number INFORMATION DISCLOSURE January 28, 2002 Filing Date STATEMENT BY APPLICANT Osamu NAKAMURA et al. First Named Inventor (use as many sheets as necessary) Group Art Unit Examiner Name 740756-2428 Sheet of 1 Attorney Docket Number U.S. PATENT DOCUMENTS Date of Publication of Cited U.S. Patent Document Pages, Columns, Lines, Where Relevant Cite No.1 Examiner Document MM-DD-YYYY Passages or Relevant Figures Appear Name of Patentee or Applicant of Cited Document Initials* Kind Code² Number (if known) 09/04/2001 6,285,042 Ohtani et al. 6,165,824 Takano et al. 12/26/2000 08/04/1998 Yamazaki et al. 5,789,284 5,923,962 Ohtani et al. 07/13/1999 5,643,826 Ohtani et al. 07/01/1997 FOREIGN PATENT DOCUMENTS Date of Publication of Cited Pages, Columns, Lines, Foreign Patent Document Examiner Name of Patentee or Document MM-DD-YYYY Initials Where Relevant Passages or Kind Code⁵ Applicant of Cited Document Relevant Figures Appear T⁶ Office3 Number⁴ Japan 07-183540 07/21/1995 Otani et al. Abstract Japan 3032801 Takano et al. 02/18/2000 Abstract Еигоре 0 651 431 A2 Ohtani et al. 01/31/1994 Full OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Examiner Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite T2 Initials item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published. Examiner Date

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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